## Computation of $p$-torsion of Jacobians of hyperelliptic curves <br> Computation of $p$-torsion of Jacobians of hyperelliptic curves

The p-rank
Newton
polygons
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## Abstract

An elliptic curve defined over $k=\overline{\mathbb{F}}_{p}$ can be ordinary or supersingular;
this distinction measures certain properties of its p -torsion.
The p-torsion of the Jacobian of a curve of higher genus can be classified by interesting combinatorial invariants, such as the p-rank, Newton polygon, a-number, and Ekedahl-Oort type.

Algorithms to compute these invariants exist but some have not been implemented.

I will explain how to compute these invariants and describe the lag in producing explicit curves with given p-torsion invariants.

## Complex elliptic curves and p-torsion

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Intro - elliptic curves

The p-rank
Newton polygons

Group schemes

Tables
Questions

Let $E$ be a complex elliptic curve.
$E \simeq \mathbb{C} / L$ for a lattice $L=\mathbb{Z} \omega_{1}+\mathbb{Z} \omega_{2}$.
(Thus $E$ is an abelian group).
Torsion points: $E[p](\mathbb{C})=\left\{Q \in E(\mathbb{C}) \mid p Q=0_{E}\right\}$.
Then $E[p](\mathbb{C}) \simeq \frac{1}{p} L / L \simeq(\mathbb{Z} / p)^{2}$.


If $X$ is a complex curve of genus $g \geq 2$, its Jacobian $J_{X}$ is a p.p. abelian variety of dimension $g$ and $J_{X}[p](\mathbb{C}) \simeq(\mathbb{Z} / p)^{2 g}$.

## Elliptic curves - algebraic version

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of $p$-torsion of Jacobians of hyperelliptic
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Intro - elliptic curves

The p-rank
Newton
polygons
The a-number
Group
schemes
Tables
Questions

Let $E: y^{2}=x^{3}+a x^{2}+b x+c$ be an elliptic curve over $k=\overline{\mathbb{F}}_{p}$ with algebraic group law.


The $\ell$-torsion of $E$ is $\operatorname{Ker}[\ell]$ where $[\ell]: E \rightarrow E$ is mult.by- $\ell$.

$$
E[\ell](k):=\left\{Q \in E(k) \mid \ell Q=0_{E}\right\} \simeq(\mathbb{Z} / \ell)^{2} \text { if } p \nmid \ell .
$$

## Torsion points - example

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Let $E: y^{2}=x^{3}+a x^{2}+b x+c$ and $\ell=3$.
A point $Q$ has order 3 iff $x(2 Q)=x(Q)$.
This occurs iff $x(Q)$ is a root of the 3-division polynomial.
P. $\langle a, b, c\rangle=$ PolynomialRing $(Z Z, 3)$
$E=$ EllipticCurve $(P,[0, a, 0, b, c])$
$d_{3}=$ E.division_polynomial( $3, x=$ None)
$3 * x^{4}+4 * a * x^{3}+6 * b * x^{2}+12 * c * x-b^{2}+4 * a * c$
If $p \neq 3$, then $d_{3}(x)$ has 4 distinct roots so $E$ has 8 points of order 3 and $|E[3](k)|=9$.

## Collapsing torsion points - example

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What if $p=3$ ?
$d_{3}=3 * x^{4}+4 * a * x^{3}+6 * b * x^{2}+12 * c * x-b^{2}+4 * a * c$.

## Collapsing torsion points - example

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Jacobians of hyperelliptic
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The p-rank
Newton polygons

So $r_{3}=a * x^{3}-b^{2}+a * c$ has

$$
\begin{cases}\text { one (triple) root } & a \not \equiv 0 \bmod 3 \\ \text { no roots } & a \equiv 0 \bmod 3\end{cases}
$$

So $|E[3](k)|$ divides 3 when $p=3$.

## Reduction of division polynomials of $y^{2}=x^{3}+b * x+c$

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The p-rank

## Newton

polygons

## Group

schemes

$$
\begin{array}{|l|l|}
\hline p & r_{p} \\
\hline \hline 5 & +2 * b * x^{10}-b^{2} * c * x^{5}+b^{6}-2 * b^{3} * c^{2}-c^{4} \\
\hline 7 & +3 * c * x^{21}+3 * b^{2} * c^{2} * x^{14}+ \\
& \left(-b^{7} * c-2 * b^{4} * c^{3}+3 * b * c^{5}\right) * x^{7} \\
& -b^{12}-b^{9} * c^{2}+3 * b^{6} * c^{4}-b^{3} * c^{6}+2 * c^{8} \\
\hline
\end{array}
$$

The number of roots of $r_{p}$ in $k[x]$ is at most:

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curves
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Intro - elliptic curves

The p-rank

## Newton

polygons

Group
schemes
Tables
Questions

| $p$ | $r_{p}$ |
| :--- | :--- |
| 5 | $+2 * b * x^{10}-b^{2} * c * x^{5}+b^{6}-2 * b^{3} * c^{2}-c^{4}$ |
| 7 | $+3 * c * x^{21}+3 * b^{2} * c^{2} * x^{14}+$ |
|  | $\left(-b^{7} * c-2 * b^{4} * c^{3}+3 * b * c^{5}\right) * x^{7}$ |
|  | $-b^{12}-b^{9} * c^{2}+3 * b^{6} * c^{4}-b^{3} * c^{6}+2 * c^{8}$ |

The number of roots of $r_{p}$ in $k[x]$ is at most:

$$
(p-1) / 2
$$

## Ordinary/Supersingular

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The p-rank
Newton
polygons

The points of order $p$ on $E: y^{2}=h(x)$ collapse in char. $p$.
The $p$-torsion of an elliptic curve $E / k$ contains either $p$ points or 1 point.

Def:

$$
E \text { is } \begin{cases}\text { ordinary } & \text { if }|E[p](k)|=p \\ \text { supersingular } & \text { if }|E[p](k)|=1\end{cases}
$$

$E$ is supersingular iff the coeff of $x^{p-1}$ in $h(x)^{(p-1) / 2}$ is 0 . Igusa: $y^{2}=x(x-1)(x-\lambda)$ is supersingular for $(p-1) / 2$ choices of $\lambda \in k$.

## Supersingular elliptic curves - revisited

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Intro - elliptic curves

The p-rank
Newton
polygons
The a-number
Group
schemes
Tables
Questions

If $E / \mathbb{F}_{p}$ is elliptic curve, then $\# E\left(\mathbb{F}_{p}\right)=p+1-a$.
The zeta function of $E$ is $Z(t)=\left(1-a t+p t^{2}\right) /(1-t)(1-p t)$.
Fact: $a=0$ iff $E$ supersingular.
$E$ supersingular, Newton polygon of $1+p t^{2}$ has slopes $1 / 2$.

$E$ ordinary, then Newton polygon has slopes 0 and 1.


## Sage - computing supersingularity

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Jacobians of
hyperelliptic
curves
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The p-rank
Newton
polygons
The a-number
Group
schemes
Tables
Questions
$E=$ EllipticCurve(GF(5), $[0,1,0,2,0])$
Elliptic Curve defined by $y^{2}=x^{3}+x^{2}+2 * x$ over Finite Field of size 5
E.is_supersingular()

True
E.hasse_invariant()

0
E.trace_of_frobenius()

0
$F=E$. frobenius()
C = F.absolute_charpoly()
$x^{2}+5$
C.newton_slopes(5)
[1/2,1/2]

## Multiple meanings

For elliptic curves, supersingular means:
$p$-rank - no points of order $p$.
Newton polygon - slopes 1/2
group scheme -
$E[p]$ is a group scheme of rank $p^{2}$.
$E[p] \simeq \mathbb{Z} / p \oplus \mu_{p}$ if $E$ ordinary.
If $E$ supersingular, then $0 \rightarrow \alpha_{p} \rightarrow E[p] \rightarrow \alpha_{p} \rightarrow 0$ (non-split).
a-number - presence of $\alpha_{p}$.
For curves of genus $g \geq 2$, these are all different!

## Supersingular elliptic curves in cryptography

Due to Frey-Rück attack, supersingular elliptic curves are weak for cryptography, Menezes-Okamato-Vanstone.

Rubin/Silverberg: "For some cryptographic applications [identity based encryption, short signature schemes] supersingular elliptic curves turn out to be very good."

Recent research in cryptography involves Jacobians of (hyperelliptic) curves of larger genus.

Similar security phenomena occur for supersingular abelian varieties, Galbraith.

There are open problems on security parameters for larger genus.

## Jacobians of curves of higher genus

## Computation <br> of $p$-torsion of Jacobians of hyperelliptic curves

The p-rank

## Newton

polygons
The a-number
Group
schemes
Tables
Questions

Let $X$ be a smooth proj. conn. $k$-curve of genus $g=\operatorname{dim}\left(H^{0}\left(\Omega_{1}\right)\right)$.

Its Jacobian $J_{X}$ is p.p. abelian variety of dim. $g$.
$J_{X}[\ell]:=\operatorname{Ker}[\ell] \simeq(\mathbb{Z} / \ell)^{2 g}$ if $p \nmid \ell$.
The $p$-torsion points collapse mod $p$.
Now $J_{x}[p]$ is a group scheme of rank $p^{2 g}$.

## The $p$-rank of $X$

Computation of $p$-torsion of Jacobians of hyperelliptic curves

The $p$-rank

## Fact:

If $X$ is a $k$-curve of genus $g$, then $\left|J_{X}[p](k)\right|=p^{f}$ for some $0 \leq f \leq g$.

## Def. Call $f$ the $p$-rank of $X$.

Also, $f=\operatorname{dim}_{\mathbb{F}_{p}} \operatorname{Hom}\left(\mu_{p}, J_{X}[p]\right)$.
$\mu_{p} \simeq \operatorname{Spec}\left(k[x] /\left(x^{p}-1\right)\right)$ is the kernel of Frobenius on $\mathbb{G}_{m}$.
Def: $X$ is ordinary if $f=g$ and this happens generically.

## Hyperelliptic curves

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The $p$-rank
Newton
polygons
The a-number
Group
schemes
Tables
Questions

Assume $p$ odd.
Hyperelliptic curves are $\mathbb{Z} / 2$-covers $\phi: Y \rightarrow \mathbb{P}_{k}^{1}$.
If $\phi$ is branched at $\infty$ and $Y$ is smooth of genus $g$,
then $Y$ has an equation $y^{2}=h(x)$ where $h(x) \in k[x]$ has degree $d=2 g+1$ and no repeated roots.

Basis for $H^{0}\left(Y, \Omega^{1}\right)$ is $\left\{d x / y, x d x / y, \ldots, x^{g-1} d x / y\right\}$.

## Project - implement algorithm

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The $p$-rank
Newton polygons

The a-number
Group schemes

Tables
Questions

Let $C$ be the Cartier (semi-linear) operator on $H^{0}\left(X, \Omega^{1}\right)$.
The $p$-rank is $f=\operatorname{dim}\left(\operatorname{im}\left(C^{g}\right)\right)$, Manin.
One can compute $f$ given $p, X$, and a basis of $H^{0}\left(X, \Omega^{1}\right)$.
Yui worked this out when $X$ hyperelliptic.
Consider $X: y^{2}=h(x)$ where $\operatorname{deg}(h(x))=2 g+1$.
Let $c_{r}$ be the coefficient of $x^{r}$ in the expansion of $h(x)^{(p-1) / 2}$.
Let $A_{g}$ be the $g \times g$ matrix whose $i j$ th entry is $c_{i p-j}$.

## Yui:

$X$ is ordinary if and only if $\operatorname{det}\left(A_{g}\right) \neq 0$.
The $p$-rank of $X$ is $f=\operatorname{rank}(M)$ where $M=\prod_{i=0}^{g-1}\left(A_{g}^{\left(p^{\prime}\right)}\right)$.
Voloch - algorithm for plane curves in terms of separating variable.

## Theoretical results

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of $p$-torsion of Jacobians of hyperelliptic curves

For all $p$ and $g \geq 3$ and $0 \leq f \leq g$, there exists:
1: curve $X$ of genus $g$ with $p$-rank $f$ Faber/Van der Geer.
2: hyperelliptic curve $X$ of genus $g$ with $p$-rank $f$ Glass/P, Zhu/P

The proofs here are all geometric; there is no information about the field of definition.

## Open questions

These questions could use some experimentation: for $g \geq 4, p, 0 \leq f \leq g$ :

1: does there exist (hyperelliptic) $X$ curve of genus $g$ with $p$-rank $f$ defined over $\mathbb{F}_{p}$ ?

2: over $\mathbb{F}_{p^{a}}$, how many are there?
This gives information about the number of components of moduli space.

Nart $=p=2, g=3$.

## Definition of Newton polygon

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The $p$-rank
Newton
polygons
The a-number
Group
schemes
Tables
Questions

Zeta function of $\mathbb{F}_{q}$-curve $X$ is $Z(t)=L(t) /(1-t)(1-q t)$
where $L(t)=\prod_{i=1}^{2 g}\left(1-w_{i} t\right) \in \mathbb{Z}[t]$ and $\left|w_{i}\right|=\sqrt{q}$.
The Newton polygon of $X$ is the Newton polygon of $L(t)$.
Find $p$-adic valuation $v_{i}$ of coefficient of $t^{i}$ in $L(t)$.
Draw lower convex hull of $\left(i, v_{i} / a\right)$ where $q=p^{a}$.
Example: The curve $Y: y^{p}-y=x^{p+1}$ has $g=p(p-1) / 2$ and is maximal over $\mathbb{F}_{p^{2}}$.
$L(t)=(1+p t)^{2 g}=\sum_{i=1}^{2 g}\binom{2 g}{i}(p t)^{i}$.
Newton polygon is line through $(i, i / 2)$ for $1 \leq i \leq 2 g$.
All slopes equal $1 / 2$ so $Y$ is supersingular.

## More on Newton polygons

Facts: The NP goes from $(0,0)$ to $(2 g, g)$.
There is a partial ordering on Newton polygons;
NP line segments break at points with integer coefficients; If slope $\lambda$ occurs with length $m_{\lambda}$, so does slope $1-\lambda$.

## More abstract definition:

If $X$ is a $k$-curve, look at the $p$-divisible group $J_{X}\left[p^{\infty}\right]$.
There is an isogeny $J_{X}\left[p^{\infty}\right] \sim \oplus_{\lambda} H_{\lambda}^{m_{\lambda}}$.
Here $\lambda \in \mathbb{Q} \cap[0,1]$ and $\lambda=c / d$ and, by Manin,
$H_{\lambda}$ is a $p$-divisible group of dimension $c$ and height $d$.
The Dieudonné module $D_{\lambda}$ for $H_{\lambda}$ is a $W(k)$-module.
Over $\operatorname{Frac}(W(k))$, there is a basis $x_{1}, \ldots, x_{d}$ for $D_{\lambda}$ s.t. $F^{d} x_{i}=p^{c} x_{i}$.
Newton polygon: lower convex hull made from line segments of
slope $\lambda$ and length $m_{\lambda}$.

## Sage - computing the NP and $p$-rank

## Computation of $p$-torsion of Jacobians of hyperelliptic curves

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Intro - elliptic curves

The p-rank
Newton polygons

The a-number
Group
schemes
Tables
Questions
P. $\langle x\rangle=$ PolynomialRing(GF(67))
$X=$ HyperellipticCurve $\left(x^{7}+x^{3}+x\right)$
X.genus()

3
C = X.frobenius_polynomial()
$x^{6}+57 * x^{4}+3819 * x^{2}+300763$
C.newton_slopes(67)
[1,1,1/2,1/2,0,0]
So the $p$-rank is 2 .

## A generic Newton polygon

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Intro - elliptic curves

The p-rank
Newton polygons

The a-number
Group
schemes
Tables
Questions

Given $g \geq 3$ and $f=g-3$, let $v_{g, g-3}$ be the NP with slopes: 0 and 1 with mult. $g-3$ and $1 / 3$ and $2 / 3$ with mult. 3.

Also $v_{g, g-3}=G_{0,1}^{g-3} \oplus G_{1,2} \oplus G_{2,1} \oplus G_{1,0}^{g-3}$.
This is the most generic Newton polygon with $p$-rank $f=g-3$.


## Corollary: Achter/P

If $g \geq 3$, then there exists a curve $X$ of genus $g$ whose Jacobian has Newton polygon $v_{g, g-3}$.

## Supersingular

Let $A$ be a p.p. abelian variety of dimension $g$.
Def: We say $A$ is supersingular if its Newton polygon has all slopes equal 1/2.

Def: An isogeny of abelian varieties is a group homomorphism $\sim$ with finite kernel.

## Fact:

Then $A$ is supersingular iff $A \sim \times_{i=1}^{g} E_{i}$, for some supersingular elliptic curves $E_{1}, \ldots E_{g}$.

This is 'smallest' Newton polygon under partial ordering.

## Earlier results

Which Newton polygons occur for Jacobians of curves?
For $g=1$ both, $g=2$ all three, $g=3$ all five.
For $g \geq 4$ and $f \geq g-2$, the $p$-rank determines the Newton polygon, and thus this Newton polygon occurs.

Same for hyperelliptic curves (see Oort for $g=3$ ).
Zhu: If $p=2$ and $g=2^{n}-1$, then no supersingular hyperelliptic curve exists.

## Supersingular versus p-rank 0

Fact: If $A$ is supersingular then $A$ has $p$-rank 0 .
Fact: If $g \in\{1,2\}$ and $A$ has $p$-rank 0 then $A$ is supersingular.

Fact: If $g \geq 3$, a generic abelian variety $A$ of dimension $g$ and $p$-rank 0 is not supersingular.

Thm. (Oort) If $g=3$, then the Jacobian of a generic hyperelliptic curve of genus 3 and $p$-rank 0 has slopes $\{1 / 3,2 / 3\}$ (not supersingular).

Proof: study intersection of two codim 1 conditions in $\mathcal{M}_{3}^{0}$.

## Results and questions

## Achter/P:

If $g \geq 3$, there exists a (hyperelliptic) curve of genus $g$ and $p$-rank 0 which is not supersingular.

For $g \geq 4, p, f=0$ :
Q1: Find example of non-supersingular curve.
Q2: Which Newton polygons occur?
Conj (Oort) Not all Newton polygons occur for Jacobians.
Q 3: If $g \geq 4$, what is the Newton polygon of a generic (hyperelliptic) curve of genus $g$ and $p$-rank 0 ?
Expectation: slopes $1 / g$ and $(g-1) / g$.

## Egregious open case

Case: Curves of genus 4 and $p$-rank 0 .
Note: $\operatorname{dim}\left(M_{4}\right)+1=\operatorname{dim}\left(A_{4}\right)$.

## Theorem: Achter/P

For all $p$, there exists a curve of genus 4 with Newton slopes 1/4, $3 / 4$.

Proof: if $p \neq 3$, look at $y^{3}=\operatorname{deg} 6$. Look at moduli space of abelian 4 -folds with action by $\mathbb{Z}\left[\zeta_{3}\right]$ (Shimura variety). Newton polygons understood when $p$ splits in $\mathbb{Q}\left(\zeta_{3}\right)$ (Montovan) or when $p$ inert (Wedhorn).
What about $p=3$ ?

## The search!

Computation
of $p$-torsion of Jacobians of hyperelliptic curves

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Intro - elliptic curves

The p-rank
Newton
polygons
The a-number
Group
schemes
Tables
Questions

Find: curve of genus 4 defined over $\mathbb{F}_{3}$ whose Newton polygon has slopes $1 / 4$ and $3 / 4$.

Try: $y^{2}=$
$x^{9}+a_{8} x^{8}+a_{7} x^{7}+a_{6} x^{6}+a_{5} x^{5}+a_{4} x^{4}+a_{3} x^{3}+a_{2} x^{2}+a_{1} x$.
P. $\langle x\rangle=$ PolynomialRing (GF(3))
$V=\operatorname{VectorSpace}(G F(3), 8)$
$Z=\operatorname{matrix}(P, 4,4)$
$M=\operatorname{matrix}(P, 4,4)$
$L=[]$
Claim: There are 12 hyperelliptic curves of genus 4, p-rank 0 , and $a$-number 1 defined over $\mathbb{F}_{3}$.

## search - 3-rank 0 and a-number 1

Computation
of $p$-torsion of Jacobians of hyperelliptic curves

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Intro - elliptic curves

The p-rank
Newton polygons

The a-number
Group
schemes
Tables
Questions
for a inV:
$f=x^{9}+\operatorname{add}\left(a[k] * x^{k+1}\right.$ for $k$ in range(8))
ifis_squarefree $(f)$ :
for $i$ in range(4) :
for $j$ in range(4) :
$t=3 * i+3-j-1$
if( $t<10)$ and $(t>-1)$ :
$M[i, j]=f . \operatorname{coeffs}()[3 * i+3-j-1]$
$d=$ M. determinant ()
if $(d==0)$ :
$M 3=M * M * M$
if not ( $M 3==Z$ ) :
$M 4=M 3 * M$
if( $M 4==Z$ ):
L.append $(f)$

## Candidates for $y^{2}=f(x)$ with slope $1 / 4,3 / 4$

Computation of $p$-torsion of Jacobians of hyperelliptic curves

L is a list of 24 polynomials.
L[0]
$x^{9}+x^{7}+x^{6}+x^{5}+2 * x^{3}+2 * x^{2}+2 * x$
L[1]
$x^{9}+x^{7}+x^{6}+2 * x^{5}+x^{4}+2 * x^{3}+x^{2}+x$
The change of variables $x \rightarrow 1 / c x$ permutes these.
There are 12 candidates for a hyperelliptic curve of genus 4 defined over $\mathbb{F}_{3}$ with slopes $1 / 4$ and $3 / 4$.

X=HyperellipticCurve(L[0])
Hyperelliptic Curve over Finite Field of size 3 defined by
$y^{2}=x^{9}+x^{7}+x^{6}+x^{5}+2 * x^{3}+2 * x^{2}+2 * x$
$\mathrm{F}=\mathrm{X}$.frobenius_polynomial()
ValueError: In the current implementation, p must be greater than $(2 g+1)(2 N-1)=117$

## Search - matching zeta function

Computation of $p$-torsion of Jacobians of hyperelliptic curves

Rachel Pries

Intro - elliptic curves

The p-rank
Newton
polygons
The a-number
Group schemes

Tables
Questions
R. $\langle T\rangle=$ PolynomialRing(Integers())
$\operatorname{var}\left(' a, b, c, d^{\prime}\right)$
$g=1+a * T+b * T^{2}+c * T^{3}+d * T^{4}+3 * c * T^{5}+9 * b *$
$T^{6}+27 * a * T^{7}+81 * T^{8}$
$z=g /((1-T) *(1-3 * T))$
$z 4=\operatorname{tay} \operatorname{lor}(z, T, 0,4)$. truncate()
$(40 * a+13 * b+4 * c+d+121) * T^{4}+(13 * a+4 * b+c+$ $40) * T^{3}+(4 * a+b+13) * T^{2}+(a+4) * T+1$
S. $\langle t\rangle=$ PowerSeriesRing(Integers())
zeta $=$ X.zeta_series $(4, t)$
$p 4=$ zeta.truncate(5).subs $(t=T)$
$184 * T^{4}+58 * T^{3}+16 * T^{2}+4 * T+1$

## search - finding the slopes

Computation of $p$-torsion of Jacobians of hyperelliptic curves

Rachel Pries

Intro - elliptic curves

The p-rank
Newton
polygons
The a-number
Group
schemes
Tables
Questions
coeff $=[]$, for $i$ inrange(5) : coeff.append(z4.coeffs ()$[i][0]-p 4 . c o e f f s()[i])$
$[0, a, 4 * a+b-3,13 * a+4 * b+c-18,40 * a+13 * b+4 *$ $c+d-63,0, a, 4 * a+b-3,13 * a+4 * b+c-18,40 * a+$ $13 * b+4 * c+d-63]$
$h=\operatorname{solve}([$ coeff $[1]==0$, coeff $[2]==0, \operatorname{coeff}[3]==$ $0, \operatorname{coeff}[4]==0], a, b, c, d)[0]$
$[a==0, b==3, c==6, d==0]$
$g 0=g \cdot s u b s(h[0]) \cdot \operatorname{subs}(h[1]) \cdot \operatorname{subs}(h[2]) \cdot s u b s(h[3])$
$g p=g 0$. polynomial(Integers())
gp.newton_slopes(3))
Slopes are 1/3, 1/2, 2/3.

## Automating slope computation

for $i$ in range(23):
X=HyperellipticCurve(L[i])
zeta=X.zeta_series(4,t).truncate(5).subs(t=T)
diffpoly=zeta-z4
eqns=[diffpoly.expand().coeff(T,j)==0 for $j$ in
range(diffpoly.degree(T)+1)]
$\mathrm{h}=$ solve(eqns, a,b,c,d)[0]
g0=g.subs(h[0]).subs(h[1]).subs(h[2]).subs(h[3])
$\mathrm{gp}=\mathrm{g} 0$. .polynomial(Integers())
print(i, gp.newton_slopes(3))
Slopes $1 / 4,3 / 4$ or $1 / 3,1 / 2,2 / 3$, or supersingular all occur.

## A new invariant

Example when $g=2$
$x: y^{2}=x(x-1)\left(x-\lambda_{1}\right)\left(x-\lambda_{2}\right)\left(x-\lambda_{3}\right)$
There are 3 variables $\lambda_{i} \in k$ to choose.
The parameter space $\mathscr{M}_{2}$ for choices of $X$ has dimension 3 .
There are 4 possibilities for $J_{X}[p]$.
Look at subspace of $\mathscr{M}_{2}$ such that:

| The $p$-rank $f$ is | 2 | 1 | 0 | 0 |
| :--- | :---: | :---: | :---: | :---: |
| Dimension in $\mathcal{M}_{2}$ | 3 | 2 | 1 | 0 |

What distinguishes between the last two columns?

## The a-number

Computation
of $p$-torsion of
Jacobians of
hyperelliptic
curves
Rachel Pries

Intro - elliptic curves

The p-rank
Newton
polygons
The a-number
Group
schemes
Tables
Questions

The a-number is the dimension of the kernel of the Cartier operator on $H^{0}\left(\Omega_{1}\right)$.

The a-number measures the intersection of the images of $F$ and $V$ on the Dieudonné module.

Now $a+f \leq g$. If $f<g$, then $a \geq 1$.
Unlike the $p$-rank, the a-number is not an isogeny invariant.
Let $E_{1}, E_{2}$ be supersingular elliptic curves.
If $A \simeq E_{1} \times E_{2}$, then $a=2$.
If $A$ isogenous to $E_{1} \times E_{2}$ but $A \not 千 E_{1} \times E_{2}$ then $a=1$.

## An example of the Cartier operator when $p=2$.

Let $X: y^{2}+y=h(x)$ with $h(x) \in k[x]$ of odd degree $j$.
All hyperelliptic curves with 2-rank 0 have this form.
This includes some supersingular curves whose security parameters are as good as possible.
Galbraith: $y^{2}+y=x^{5}+x^{3}, y^{2}+y=x^{9}+x^{4}+1$.
Then $g=(j-1) / 2$.
A basis for $H^{0}\left(X, \Omega^{1}\right)$ is $\left\{d x, x d x, \ldots, x^{g-1} d x\right\}$.
$C\left(x^{2 b} d x\right)=0$ and $C\left(x^{2 b+1} d x\right)=x^{b} d x$.
$C$ nilpotent so $f=0$, and $a=\lfloor(g+1) / 2\rfloor$.

## Superspecial $\Rightarrow$ Supersingular $\Rightarrow f=0$

Computation of $p$-torsion of Jacobians of hyperelliptic curves

Rachel Pries

Def: An abelian variety $A$ is superspecial if $A \simeq \times{ }_{i=1}^{g} E_{i}$ where $E_{i}$ are supersingular elliptic curves.

Then $a=g$ iff $A$ superspecial.
Superspecial curves are rare.
They occur only if $g \leq\left(p^{2}-p\right) / 2$, Ekedahl.
Def: $A$ is supersingular if $A$ is isogenous to $\times{ }_{1}^{g} E_{i}$ where $E_{i}$ are supersingular elliptic curves.

A supersingular iff the slopes of Newton polygon are all $1 / 2$.
If $A$ is superspecial, then $A$ is supersingular. The converse is false for $g \geq 2$.

If $A$ is supersingular, then the $p$-rank of $A$ is 0 . The converse is false for $g \geq 3$.

## More examples

Computation of $p$-torsion of Jacobians of hyperelliptic
curves
Rachel Pries

Intro - elliptic curves

The p-rank
Newton polygons

The a-number
Group
schemes
Tables
Questions

The curve $X: y^{p}-y=x^{p+1}$ is maximal over $\mathbb{F}_{p^{2}}$;
(number of points in $X\left(\mathbb{F}_{p^{2}}\right)$ realizes Hasse-Weil bound).
It can be used to construct a good error-correcting code.
This curve has $g=p(p-1) / 2$ by Riemann-Hurwitz, $f=0$ by Deuring-Shafarevich, and $a=g$.

> If $p \equiv 1 \bmod j$ instead, then $y^{p}-y=x^{j}$ has
> $g=(j-1)(p-1) / 2$ and $f=0$ and $(\mathrm{P})$ :

$$
a= \begin{cases}(p-1) j / 4 & \text { if } j \text { even } \\ (p-1)(j-1)(j+1) / 4 j & \text { if } j \text { odd }\end{cases}
$$

## Open questions

Computation
of $p$-torsion of
Jacobians of hyperelliptic
curves
Rachel Pries

Intro - elliptic curves

The p-rank
Newton polygons

The a-number
Group
schemes
Tables
Questions

## Expect a-number is usually small

Conj. A generic curve of genus $g$ and $p$-rank $f$ has $a$-number 1 if $f \leq g-1$.

The conditions $p$-rank $f$ and a-number 1 determine a unique group scheme of rank $p^{2 g}$. Its covariant Dieudonné module has relation $F^{r}=V^{r}$.
[P] proved conj. when $f \geq g-3$ and reduced proof in other cases to the base case $f=0$.
Analogous result for hyp. curves when $f=g-2$ if $p>2$.
Question: find explicit equations for curves with p-rank 0 and given $a$-number.

Method to construct curves with $f=g-2$ and $a=1$.

Computation
of $p$-torsion of Jacobians of hyperelliptic curves

Goal: produce $X$ genus $g$ with $f_{X}=g-2$ and $a_{X}=1$.
Start with $Y$ genus 2 with $f_{Y}=0$ and $a_{Y}=1$. (i.e. $J_{Y}$ is a supersingular non-superspecial abelian surface).

Ex: $p=2$, look at $y^{2}+y=x^{5}$.
$p=3$, look at $y^{2}=x^{6}+x+2$.
$p=5$, look at $y^{2}=x^{5}+2 x^{4}+x^{3}+x+3$.
Find points of order $\ell=g+1$ on $J_{Y}$ (ok if $p \nmid \ell$ ).
Each of these yields an unramified $\mathbb{Z} / \ell$-cover $X \rightarrow Y$ s.t. $X$ has genus $g$ and $J_{Y} \subset J_{X}$.

By a result of Raynaud about theta divisors, one of these curves $X$ has $p$-rank $g-2$.

## Group schemes

If $A$ is a p.p. abelian variety, then $A[p]$ is a group scheme.
Then $f=\operatorname{dim}_{\mathbb{F}_{p}} \operatorname{Hom}\left(\mu_{p}, A[p]\right)$ where $\mu_{p} \simeq \operatorname{Spec}\left(k[x] /\left(x^{p}-1\right)\right)$ is the kernel of Frobenius on $\mathbb{G}_{m}$; and $a=\operatorname{dim}_{k} \operatorname{Hom}\left(\alpha_{p}, A[p]\right)$ where $\alpha_{p} \simeq \operatorname{Spec}\left(k[x] / x^{p}\right)$ is the kernel of Frobenius on $\mathbb{G}_{\text {a }}$.

The $p$-rank and the $a$-number do not determine the isomorphism class of $A[p]$ if $g \geq 3$.

The group schemes $A[p]$ can be classified by Dieudonné modules, Ekedahl-Oort types $v$, Young diagrams $\mu$, or cycle classes.

Classification by Newton polygon does not match up well.

$$
g=1:
$$

| $A[p]$ | codim | $f$ | $a$ | $v$ | $\mu$ | cycle class |
| :--- | :---: | :---: | :--- | :--- | :--- | ---: |
| $L$ | 0 | 1 | 0 | $[1]$ | $\emptyset$ | $\lambda_{0}$ |
| $I_{1,1}$ | 1 | 0 | 1 | $[0]$ | $\{1\}$ | $(p-1) \lambda_{1}$ |

## Group schemes:

$L=\mathbb{Z} / p \oplus \mu_{p}$.
$I_{1,1}$ given by $0 \rightarrow \alpha_{p} \rightarrow I_{1,1} \rightarrow \alpha_{p} \rightarrow 0$ (non-split).

## Occur as p-torsion:

If $E$ is an ordinary elliptic curve then $E[p] \simeq L$. If $E$ is a supersingular elliptic curve, then $E[p] \simeq I_{1,1}$.

Dieudonné modules:

$$
\begin{aligned}
& D\left(\mathbb{Z} / p \oplus \mu_{p}\right) \simeq k[F, V] /(F, 1-V)_{\ell} \oplus k[F, V] /(V, 1-F)_{\ell} . \\
& D\left(I_{1,1}\right) \simeq k[F, V] /(F+V)_{\ell} .
\end{aligned}
$$

$$
g=2:
$$

Computation of $p$-torsion of Jacobians of hyperelliptic
curves
Rachel Pries

Intro - elliptic curves

The p-rank
Newton polygons

The a-number
Group schemes

Tables
Questions

| $A[p]$ | codim | $f$ | $a$ | $v$ | $\mu$ | cycle class |
| :--- | :---: | :---: | :---: | :---: | :--- | ---: |
| $L^{2}$ | 0 | 2 | 0 | $[1,2]$ | $\emptyset$ | $\lambda_{0}$ |
| $L \oplus I_{1,1}$ | 1 | 1 | 1 | $[1,1]$ | $\{1\}$ | $(p-1) \lambda_{1}$ |
| $I_{2,1}$ | 2 | 0 | 1 | $[0,1]$ | $\{2\}$ | $(p-1)\left(p^{2}-1\right) \lambda_{2}$ |
| $I_{1,1}^{2}$ | 3 | 0 | 2 | $[0,0]$ | $\{2,1\}$ | $(p-1)\left(p^{2}+1\right) \lambda_{1} \lambda_{2}$ |

## Group scheme:

Here $\alpha_{p} \subset H \subset I_{2,1}$ where $H / \alpha_{p} \simeq \alpha_{p} \oplus \alpha_{p}$, and $I_{2,1} / H \simeq \alpha_{p}$.

## Dieudonné module:

$$
D\left(I_{2,1}\right) \simeq k[F, V] /\left(F^{2}+V^{2}\right)_{\ell}
$$

## Newton polygons:

$2 G_{1,1}$ (supersingular) occurs for both $\left(I_{1,1}\right)^{2}$ and $I_{2,1}$.

$$
g=3:
$$

Computation of $p$-torsion of Jacobians of hyperelliptic curves

Rachel Pries

Intro - elliptic curves

The p-rank
Newton
polygons
The a-number
Group schemes

Tables
Questions

| $A[p]$ | codim | $f$ | $a$ | $v$ | $\mu$ |
| :--- | :---: | :---: | :--- | :--- | :--- |
| $L^{3}$ | 0 | 3 | 0 | $[1,2,3]$ | $\emptyset$ |
| $L^{2} \oplus I_{1,1}$ | 1 | 2 | 1 | $[1,2,2]$ | $\{1\}$ |
| $L \oplus I_{2,1}$ | 2 | 1 | 1 | $[1,1,2]$ | $\{2\}$ |
| $L \oplus I_{1,1}^{2}$ | 3 | 1 | 2 | $[1,1,1]$ | $\{2,1\}$ |
| $I_{3,1}$ | 3 | 0 | 1 | $[0,1,2]$ | $\{3\}$ |
| $I_{3,2}$ | 4 | 0 | 2 | $[0,1,1]$ | $\{3,1\}$ |
| $I_{1,1} \oplus I_{2,1}$ | 5 | 0 | 2 | $[0,0,1]$ | $\{3,2\}$ |
| $I_{1,1}^{3}$ | 6 | 0 | 3 | $[0,0,0]$ | $\{3,2,1\}$ |

If $A[p] \simeq I_{3,1}$, then $N P(A)=G_{1,2}+G_{2,1}$ (slopes $1 / 3$ and $2 / 3$ ) usually but $N P(A)=3 G_{1,1}$ (supersingular) also occurs. $D\left(I_{3,1}\right) \simeq k[F, V] /\left(F^{3}+V^{3}\right)_{\ell}$.
$D\left(I_{3,2}\right) \simeq k[F, V] /\left(F^{2}-V\right)_{\ell} \oplus k[F, V] /\left(V^{2}-F\right)_{\ell}$.

$$
g=4
$$

Computation of $p$-torsion of Jacobians of hyperelliptic
curves
Rachel Pries

Intro - elliptic curves

The p-rank
Newton
polygons
The a-number
Group schemes

Tables
Questions

There are 16 possibilities for $A[p]$ if $g=4$. Here are the ones with $f=0$.

| $g=4, f=0$ | codim | $f$ | $a$ | $v$ | $\mu$ |
| :--- | :---: | :--- | :--- | :--- | :--- |
| $I_{4,1}$ | 4 | 0 | 1 | $[0,1,2,3]$ | $\{4\}$ |
| $I_{4,2}$ | 5 | 0 | 2 | $[0,1,2,2]$ | $\{4,1\}$ |
| $I_{1,1} \oplus I_{3,1}$ | 6 | 0 | 2 | $[0,1,1,2]$ | $\{4,2\}$ |
| $I_{2,1} \oplus I_{2,1}$ | 7 | 0 | 2 | $[0,0,1,2]$ | $\{4,3\}$ |
| $I_{1,1} \oplus I_{3,2}$ | 7 | 0 | 3 | $[0,1,1,1]$ | $\{4,2,1\}$ |
| $I_{4,3}$ | 8 | 0 | 3 | $[0,0,1,1]$ | $\{4,3,1\}$ |
| $I_{1,1} \oplus I_{2,1}$ | 9 | 0 | 3 | $[0,0,0,1]$ | $\{4,3,2\}$ |
| $I_{1,1}^{4}$ | 10 | 0 | 4 | $[0,0,0,0]$ | $\{4,3,2,1\}$ |

It is not known if these occur for all $p$ as the $p$-torsion $J_{X}[p]$ of a curve $X$ of genus 4 .

## Open questions

For a p.p. abelian variety of dimension $g$, there are $2^{g}$ possibilities for the group scheme $A[p]$. Let $\mathbb{G}$ be one of these.

Q1: Does $\mathbb{G}$ occur as the $p$-torsion of a Jacobian $J_{X}$ ?
Q2: If $\mathbb{G}$ occurs, describe the corresponding sublocus of $\mathcal{M}_{g}$ : how many components? what are their dimensions?

If $f=g$, then $J_{X}[p] \simeq\left(\mathbb{Z} / p \oplus \mu_{p}\right)^{g}$ and $a_{X}=0$.
If $f=g-1$, then $J_{X}[p] \simeq\left(\mathbb{Z} / p \oplus \mu_{p}\right)^{g-1} \oplus I_{1,1}$ and $a_{X}=1$.
For $g \geq 4$ and $f \geq g-3$, all occur, P

## Egregious open case

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Intro - elliptic curves

The p-rank
Newton
polygons
The a-number
Group
schemes
Tables
Questions

Hyperelliptic curves with $g=3$ and $f=0$.
Note: $\operatorname{dim}\left(H_{3}\right)+1=\operatorname{dim}\left(A_{3}\right)$.
The moduli space $\mathscr{H}_{3}^{0}$ has dimension 2.
Is it irreducible?
Yes, when $p=3$, Elkin/P

## Open questions - arithmetic

Computation
of $p$-torsion of Jacobians of hyperelliptic curves

Q 1: For all $g \geq 3$ and $0 \leq f \leq g$, does there exist an $\mathbb{F}_{p}$-curve $X$ with genus $g$ and $p$-rank $f$ ?

Note: earlier application shows slopes are not all 1/2.
Note: the case $f=0$ is crucial; can reduce the calculation of generic Newton polygon of $\mathcal{M}_{g}^{f}$ to that of $\mathscr{M}_{g-f}^{0}$.

## Open questions - geometric

Q 3: How many irreducible components does $\mathcal{M}_{g}^{f}$ have?
Known that $\mathcal{M}_{g}^{f}$ is irreducible for all $p$ when $g=2$ and $f \geq 1$ and when $g=3$.

If $g>3$ and $f=g$, then $\mathcal{M}_{g}^{f}$ is irreducible for all $p$.
Q 4: How many irreducible components does $\mathscr{H}_{g}^{f}$ have?
The case $g=3, f=0$ could improve results on $\mathcal{H}_{g}^{0}, \mathscr{H}_{g}^{g-3}$.
Already the case $g=3, f=0$ with $p \geq 5$ is unknown.

